



Jeen KIM

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Jeen Kim is an attorney (US) at Kim & Chang. He practices primarily in the area of finance, although his practice also extends to other areas including corporate compliance, governance and investigations.

Mr. Kim has broad transactional and regulatory experience in finance, across securities, banking, derivatives, asset management and insurance. In recent years, he has focused in the area of regulatory compliance, particularly in response to new laws and regulations promulgated following the latest financial crisis and most recently in response to the rapid expansion of financial technology applications. Prior to that, he was a financial markets lawyer in New York and Seoul, and began his career in Delaware focusing on corporate governance advisory and shareholder litigation matters.

Prior to rejoining Kim & Chang, Mr. Kim was a managing director of Compliance at Standard Chartered Group in Singapore with global responsibility for numerous bank regulatory areas, including the Volcker Rule, the Dodd Frank Act and MiFID II/MiFIR. In that role, he initiated and oversaw the implementation of policies, processes and controls within their operating businesses to ensure compliance with applicable laws and regulations. Prior to that also at Standard Chartered, he was a managing director for financial markets legal coverage in New York and Executive Vice President and General Counsel for Standard Chartered Bank Korea Limited in Seoul. He was previously a managing director in the fixed income divisions of J.P. Morgan and Merrill Lynch in New York, co-director of structured products at Brevan Howard Asset Management, and a director of legal and compliance at Credit Suisse in New York and Seoul with responsibility for fixed income derivatives, securitizations, credit structuring, emerging markets and insurance convergence businesses.

Mr. Kim received his J.D. and M.B.A. from the University of North Carolina at Chapel Hill in 1991, where he was an editor of the North Carolina Law Review, and his A.B. in economics from Harvard College in 1983. He is admitted to practice in New York and Delaware.

Education

University of North Carolina at Chapel Hill (J.D., 1991)
University of North Carolina at Chapel Hill (M.B.A., 1991)
Harvard College (A.B., Economics, 1983)

Experience

Kim & Chang (1994.12.-1997.8., 2019.8.-Present)
Managing Director and Global Head, Volcker Compliance Programme, Standard Chartered Group, Singapore (2016.10.-2019.6.)
Managing Director, Standard Chartered Bank, New York (2013.5.-2016.10.)
Executive Vice President and General Counsel, Standard Chartered Bank Korea Limited, Seoul. (2010.9.-2013.5.)
Managing Director, Fixed Income Division (Exotics & Hybrids), J.P. Morgan, New York (2008.4.-2009.9.)
Managing Director, Fixed Income, Currencies & Commodities Division, Merrill Lynch, New York

(2007.6.-2008.4.)

Co-Director of Structured Products, Brevan Howard Asset Management, New York (2006.9.-2007.4.)

Director, Legal & Compliance, Credit Suisse, New York (2000.1.-2006.8.)

Director, Legal & Compliance, Credit Suisse First Boston, Seoul (1997.9.-2000.1.)

Prickett Jones & Elliott, Wilmington, Delaware (1991.6.-1994.11.)

Admissions

Admitted to bar, New York, 2000; Delaware, 1992

Languages

English and Korean

Representative Work

- Offering of the first Korean won denominated bond by the International Bank for Reconstruction & Development (IBRD)
- Listing of the first international Korean bond fund (Korea Bond Fund plc)
- First securitization of US life insurance and annuity assets
- Involvement in valuation of price paid to minority shareholders in appraisal proceedings that resulted in the then largest award arising from shareholder corporate litigation in the Delaware courts
- Involvement from initiation in sale of loan and credit assets by a major investment bank in the Bermuda reinsurance market
- Restructuring of US bank-held equity investments in Korea to meet US Bank Holding Company Act requirements
- Restructuring of the global trading business of a major bank to meet Volcker Rule requirements, including institution of specific processes and controls to ensure sustainability

KIM & CHANG

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